STANT COMMISSIONER FOR PATENTS PATENT APPLICATION Shington, D.C. 20231

CASE DOCKET NO. FR919990071US1
Date: May 23, 2001

under Rule 1.53(b)

Transmitted herewith for filing is the Patent Application/of:

ASSIGNEE NAME: International Business Machines Corporation ASSIGNEE RESIDENCE: Armonk, New York



Inventors:Jean-Louis Blanchard, Stephane Lorin, Hammou Messatfa and Christelle Pavillon

For:

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SYSTEM AND METHOD FOR STOCK OPTIONS MARKET MANAGEMENT

Enclosed are:

- X 6(six) Sheets of Formal Drawings.
- An assignment of the invention to International Business Machines Corporation, Armonk, New York 10504.
- \underline{X} A certified copy of a European Patent Application Serial No: $\underline{00480047.0}$.
- Unsigned Declaration and Power of Attorney is attached to the application.
 - Associate Power of Attorney.
 - Information Disclosure Statement with form PTO-1449 with references attached.

The filing fee has been calculated as shown below:

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 - \underline{x} Any additional filing fees required under 37 CFR 1.16.
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Respectfully submitted,

Gail H. Zarick

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IBM CORPORATION
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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of: Blanchard et al. Docket No.: FR919990071US1

Serial No.: To be assignedGroup No.:Filed: HerewithExaminer:

For: System and Method for Stock Options Market Management

Assistant Commissioner for Patents Washington, DC 20231

EXPRESS MAIL CERTIFICATE

Express Mail Label Number <u>EL761869678US</u> Date of Deposit <u>May</u> 23, 2001

I hereby certify that attached paper or fee:

Patent Application Transmittal Fee Sheet under rule 1.53(b) -Original and copy Patent Application
Declaration and Power of Attorney for Patent Application, unsigned 6 (six) Sheets Formal Drawings
Certified Copy of European Patent Application No. 00480047.0
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